

FORM PTO-1390 (REV 10-96)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		TORNEY'S DOCKET NUMBER
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>				64978
INTERNATIONAL APPLICATION NO.		INTERNATIONAL FILING DATE		PRIORITY DATE CLAIMED
PCT/EP97/03245		20 June 1997		20 June 1996
TITLE OF INVENTION : PYRIDYL ALKENE- AND PYRIDYL ALKINE - ACID AMIDES AS CYTOSTATICS AND IMMUNOSUPPRESSIVES				
APPLICANT(S) FOR DO/EO/US <b>Elfi Biedermann et al.</b>				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
<ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li><input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ol style="list-style-type: none"> <li><input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li><input checked="" type="checkbox"/> has been transmitted by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li><input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ol style="list-style-type: none"> <li><input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li><input type="checkbox"/> have been transmitted by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li><input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>				
<b>Items 11. to 16. below concern document(s) or information included:</b>				
<ol style="list-style-type: none"> <li><input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li><input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li><input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li><input checked="" type="checkbox"/> Other items or information:           <ul style="list-style-type: none"> <li>-WIPO Publication WO97/48696</li> <li>-PCT Form IB/308</li> <li>- International Preliminary Examination Report (IPEA 409)</li> <li>-General Petition for Extension of Time Under 37 CFR 1.136(a) (3)</li> </ul> </li> </ol>				
<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL"</b> ) "Express Mail" Mailing Label Number ) EL 279615896 US ) Date of Deposit Feb. 18, 1999 ) I hereby certify that this paper or fee ) is being deposited with the United States ) Postal Service "Express Mail Post Office ) to Addressee" Service under 37 C.F.R. ) §1.10 on the date indicated above and is ) addressed to: Commissioner of Patents ) and Trademarks, Washington, D.C. 20231. ) ED PRICE ) (Typed or printed name of person mailing) ) <i>Elfi Biedermann</i> ) (Signature of person mailing)				

17.  The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Search Report has been prepared by the EPO or JPO ..... \$ 840.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$ 670.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$ 760.00

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$ 970.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$ 96.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$ 840 00

Surcharge of \$130.00 for furnishing the oath or declaration later than  20  30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130 00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	40 - 20 =	20	X \$ 18.00	\$ 360 00
Independent claims	1 - 3 =		X \$ 78.00	\$
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$ 260.00	\$ 1330 00

**TOTAL OF ABOVE CALCULATIONS =**

\$ 1330 00

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$

SUBTOTAL =		\$ 1330 00
Processing fee of \$130.00 for furnishing the English translation later than	<input type="checkbox"/> 20 <input type="checkbox"/> 30	\$
months from the earliest claimed priority date (37 CFR 1.492(f)).		
	+ \$	
TOTAL NATIONAL FEE =		\$ 1330 00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property	+ \$	40 00
TOTAL FEES ENCLOSED =		\$ 1370 00

Amount to be: \$

refunded \$

charged \$

- a.  A check in the amount of \$1330.00 to cover the above fees is enclosed, and a check in the amount of \$40.00 is enclosed to cover the assignment recording fee.
- b.  Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1135. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

FITCH, EVEN, TABIN & FLANNERY  
120 South LaSalle St., Suite 1600  
Chicago, IL 60603-3406 U.S.A.

Telephone: (312) 577-7000  
Facsimile: (312) 577-7007

Kenneth H. Samples  
SIGNATURE:

Kenneth H. Samples  
NAME:

25,747  
REGISTRATION NUMBER: